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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/716,732
Filing Date	November 19, 2003
First Named Inventor	Henry Aoki
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	495P011

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

-PTO Form 1449
-References cited - 5

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Kevin S. Lemack Niels & Lemack
Signature	
Date	January 20, 2004

CERTIFICATE OF MAILING

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Typed or printed name	Kevin S. Lemack
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Henry Aoki
Serial No. : 10/716,732
Filed : November 19, 2003
For : NOVEL XANTHONE DERIVATIVES, METHOD OF
PRODUCTION THEREOF
Examiner : Not yet assigned
Art Unit : Not yet assigned
Attorney
Docket No. : 495P011

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

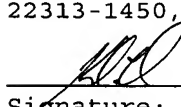
Sir:

INFORMATION DISCLOSURE STATEMENT


The Examiner is respectfully requested to consider the documents which are listed on the attached form PTO 1449.

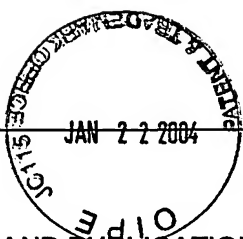
Copies of the documents listed on the attached form are filed herewith.

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Signature: Kevin S. Lemack
Date: January 20, 2004

Respectfully submitted,


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FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	ATTY. DOCKET NO. 495P011	SERIAL NO. 10/716,732
	Henry Aoki	
	FILING DATE November 19, 2003	GROUP Not yet assigned

REFERENCE DESIGNATION**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,776,104	10/1988	Kuoyama	34	77	
	AB	5,170,697	12/1992	Kuboyama	99	470	
	AC	5,572,923	11/1996	Kuboyama	99	287	
	AD	5,741,813	4/1998	Lin et al.	514	455	
	AE	6,403,636	6/2002	Reutrakul et al.	514	453	
	AF						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BA							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	CA		
	CB		
	CB		
EXAMINER		DATE CONSIDERED	
EXA MINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			